



Europäisches Patentamt  
European Patent Office  
Office européen des brevets

(11) Publication number:

**0 036 676**  
**B1**

(12)

**EUROPEAN PATENT SPECIFICATION**

**Sammlungsexemplar  
Patentabteilung**

(45) Date of publication of patent specification: **11.07.84**

(51) Int. Cl.<sup>3</sup>: **A 61 K 9/50, A 61 K 31/70,  
C 07 H 15/24**

(21) Application number: **81200162.6**

(22) Date of filing: **23.03.79**

(80) Publication number of the earlier application in  
accordance with Art. 76 EPC: **0004467**

(54) **Method of making uniformly sized liposomes and liposomes so made.**

(30) Priority: **24.03.78 US 889853**  
**23.02.79 US 13433**

(43) Date of publication of application:  
**30.09.81 Bulletin 81/39**

(45) Publication of the grant of the patent:  
**11.07.84 Bulletin 84/28**

(84) Designated Contracting States:  
**BE CH DE FR GB LU NL SE**

(56) References cited:  
**AU - D - 5 310 773**  
**DE - A - 2 656 333**  
**FR - A - 2 298 318**  
**US - A - 3 993 754**

**PHARM. ACTA HELVETIA, vol. 52, no. 12, 1977,**  
**F. PUISIEUX et al.: "Les Liposomes, véhicules**  
**possibles de principes actifs", pages 305-318**  
**REMINGTON'S PHARMACEUTICAL SCIENCES,**  
**14th edition, 1970, (Mack Publishing**  
**Company), EATON (US), pages 326,327**

**The file contains technical information**  
**submitted after the application was filed and not**  
**included in this specification**

(73) Proprietor: **THE REGENTS OF THE UNIVERSITY OF**  
**CALIFORNIA**  
**2200 University Avenue**  
**Berkeley, California 94720 (US)**

(72) Inventor: **Hunt, C. Anthony**  
**1567 33rd Avenue**  
**San Francisco, California 94122 (US)**  
Inventor: **Papahadjopoulos, Demetrios T.**  
**3170 Condit Street**  
**Lafayette, California 94549 (US)**

(74) Representative: **Harrison, David Christopher et al,**  
**MEWBURN ELLIS & CO 2/3 Cursitor Street**  
**London EC4A 1BQ (GB)**

(58) References cited:  
**CHEMICAL ABSTRACTS, vol. 88, no. 26, June**  
**26, 1978, abstract 197528z, page 395,**  
**COLUMBUS, OHIO (US) RUDY L. JULIANO et al.:**  
**"Pharmacokinetics of liposome-encapsulated**  
**antitumor drugs. Studies with vinblastine,**  
**actinomycin D, cytosine arabinoside, and**  
**daunomycin"**  
**THE MERCK INDEX, 9th Edition, 1976, Merck &**  
**Co. Inc., RAYWAY (US), No.2815**

Note: Within nine months from the publication of the mention of the grant of the European patent, any person may give notice to the European Patent Office of opposition to the European patent granted. Notice of opposition shall be filed in a written reasoned statement. It shall not be deemed to have been filed until the opposition fee has been paid. (Art. 99(1) European patent convention).

Courier Press, Leamington Spa, England.

**EP 0 036 676 B1**

## Description

This invention relates to liposomes. These are used as a vehicle for administration of drugs. It is particularly (though not exclusively) concerned with the incorporation into such liposomes of bis-anthracyclines, which have utility in inhibiting DNA function and therefore as anti-cancer drugs. Such compounds are disclosed and claimed in European Application 79300470.6 (now European Patent No. 4467) from which the present application is divided.

Liposomes are lipid micro-vesicles of approximately spherical shape. The outer shell of a liposome consists of a phospholipid bilayer which encloses a volume of water, an aqueous solution or partly aqueous solution. Liposomes with only one lipid shell are designated unilamellar vesicles; those with additional lipid shells, like layers of an onion, are called multilamellar vesicles. Either type may be small, e.g., 150—400 nm in diameter, or large, e.g., up to the size of red blood cells. A large liposome may contain many times the volume of a small liposome.

Liposomes may be produced by hydration and mechanical dispersion of dried lipoidal material in an aqueous solution. The lipoidal material can be phospholipids or other lipids, cholesterol and its derivatives or a variety of amphiphiles including macromolecules or mixtures of these. However, liposomes prepared this way are mixtures of all the types noted above, with a variety of dimensions, compositions and behaviours. This unpredictable variety leads to inconsistent measures of liposome properties and unreliable characterizations. To reduce the heterogeneity of mechanically dispersed liposomes, such dispersions may be filtered through a membrane filter (see FR 2298318A) exposed to sonication which decreases average liposome size. Under extensive sonication, occasionally populations of liposomes are reduced to small unilamellar vesicles, but the sonic process does not give homogeneous dispersions of larger vesicles and can degrade the complex lipids and other components of the liposomes. The single filtration step disclosed in FR 2298318A still provides relatively random size particles.

The preparation of liposomes and their use in drug therapy has been previously described. See, for instance, U.S. Patent 4,053,585; German Patent 2,532,317; Netherlands application 73/04133; and Biochemistry 16 (12) 2806 (1977).

According to the present invention, there is provided a method for the production of liposomes comprising forming liposomes in relatively random sizes and passing the random sized liposomes through an orifice characterized in that the initially random sized liposomes are converted into uniformly sized liposomes by extruding the random sized liposomes through at least one orifice, which said

orifice is smaller than the largest of the random sized liposomes.

In a process within the invention, liposomes of uniform size are formed from random sized liposomes by successively passing the liposomes through orifices, the largest of the orifices being smaller than the largest of the random sized liposomes and the orifice used in one said passage being larger than the orifice used in a later said passage to provide liposomes of uniform size.

The present invention thus provides processes by which liposomes of uniform size and composition, and with predictable properties may be produced. In the processes liposomes are formed in relatively random sizes and these initially random sized liposomes are subjected to passage through at least one orifice, preferably to passage through a plurality of successive orifices of decreasing size. This passage is preferably the final step of the process to provide a product ready for use. By such passage or passages the random sized liposomes are extruded through the or each successive orifice so as to refine the liposomes by decreasing their size and maximizing uniformity in size.

By a method embodying the invention the liposomes, in which the bis-anthracyclines may be encapsulated are produced as follows:

Those agents which are to compose the lipid membrane of the liposome, such as phospholipids, cholesterol and/or other biologically active or inactive amphiphiles, or macromolecules are mixed in an organic solvent such as ethers, chloroform, alcohol, etc. and then dried onto the interior surface of a vessel under a vacuum. As an example, phosphatidic acid L-alpha-lecithin and cholesterol were mixed into a solution of 7:3:1 chloroform:isopropanol:methanol respectively and vacuum dried. An aqueous solution of the drug was added to the dried lipids at a temperature above the phase transition temperature of the lipid mixture. In this example, a bis-anthracycline at 1 mg/ml in isotonic phosphate buffer was added and the solution rolled with the lipids for one hour to allow slow hydration.

The resulting liposome size was greater than 0.5  $\mu\text{m}$  in diameter (light scattering method). These mechanically dispersed liposomes in which the drug is incorporated, are then passed through orifices provided by a Nucleopore type filter (uniform pore size) starting with 1.00  $\mu\text{m}$  and going successively down to the desired vesicle size (e.g. 0.1  $\mu\text{m}$ ). If the lipid concentration of these liposomes was greater than 10 mgm/ml the process was repeated for maximum uniformity. Following these steps the untrapped drug was removed from the vesicles by dialysis and the drug-containing vesicles were collected for further use.

If the liposome size is desired to be less than 0.1 to 0.05  $\mu\text{m}$  the liposomes are then subjected to an additional extrusion under a pres-

sure higher than that employed above through a small orifice. For example, the liposomes were extruded using a French Press and Pressure Cell (Aminco type) maintained at about 1170 bar during the entire extrusion. The extrusion at this increased pressure may be repeated for enhanced uniformity of liposome. The extrusion pressure, orifice size, and temperature can be used to control the size of the resulting vesicles and very uniform liposomes can be easily and reproducibly made by this process. Extrusion may be at pressures up to 2070 bar.

Subsequent to the extrusion, the free untrapped drug can be removed readily by dialysis leaving a uniform, stable liposome population containing the drug.

As noted the liposome wall material may be any desired lipid, such as phospholipids, cholesterol, etc. Such liposomes may be produced, as noted, in closely controlled sizes; and, in addition depending on the lipid employed, with positive or negative charges thereon.

Utilizing controlled liposome size, material and charge, it has been determined that in the mammalian organism, the liposomes will preferably collect in particular organs, such as lung, liver, spleen, etc. Thus, the encapsulated drug may be delivered to specific sites within the organism. It will be apparent that utilization of the liposomes for such purposes, facilitates the effectiveness of the drug in contacting tissues at selected sites since the drug will concentrate at the selected sites. At the same time, the drug concentration throughout the general body tissues will be greatly lowered to reduce undesirable side effects.

#### Enhanced effectiveness of Bis-anthracyclines in liposomes vs free bis-anthracyclines

The bis-anthracyclines mentioned are generally more effective against mouse cancer than the parent mono-anthracycline, such as Daunorubicin, and effective clinical mono-anthracycline. On the other hand, the bis-anthracyclines were less potent and less effective than would be expected when extrapolated from the comparative tests in which the bis-anthracycline was found to be over 100 times as potent as Daunorubicin. If this discrepancy is due to the lower transport of the very large bis-anthracycline molecule into cells or to the target cellular receptor, then overcoming this possible barrier should enhance the drug effectiveness of bis-anthracyclines.

Daunorubicin and Type I and/or Type II bis-anthracyclines (see EP—B—4467) were encapsulated in liposomes of the small unilamellar class, composed of phosphatidic acid, L-alpha lecithins, and cholesterol. These uniform small unilamellar vesicles were prepared

by a final step of extrusion from a French Press at a pressure of 1170 bar.

The liposome encapsulated Type II bis-anthracycline drugs and free drugs were compared for their capacity to kill leukemic cells. The free drugs were about equally active and equally potent when Daunorubicin and the bis-anthracyclines were compared for their cell kill of L1210 leukemia cells. Daunorubicin was found to change only a few % (not significantly) between acting as a free drug or being liposome encapsulated (the  $I_{50}$  was about 0.20 micromolar in both cases. However, the encapsulated bis-anthracycline was very much improved in antileukemic activity when liposome encapsulated as noted in Table 1:

TABLE 1

Improved activity of liposome encapsulated bis-anthracyclines

State	$I_{50}$ Leukemic cell kill
Free drug	0.250 micromolar
Liposome encapsulated	0.003 micromolar

In addition, Daunorubicin or bis-daunorubicin incorporated into these same liposomes and administered into BDF<sub>1</sub> mice carrying P—388 leukemia, could be given at more than 2 times the lethal dose of the free drug without producing a lethal effect. Under these conditions they were still effective anti-leukemic drugs in vivo, showing that such encapsulation produced a therapeutic advantage in making the doses less toxic. Whereas the therapeutically effective injected dose of the Type II bis-anthracyclines in treating murine leukemia may be 10—50 mg/kg on a q 4 d schedule, when the bis-anthracycline is incorporated into these same phosphatidic acid-lecithins-cholesterol liposomes, it is 2 to 8 mg/kg or 5 fold less. Thus it has been observed that the same liposome that lowers the risk of anthracycline toxicity can enhance the potency of the bis-anthracyclines. The combination of these effects, increasing the dose needed to produce toxicity and increasing potency or lowering the dose required to achieve a therapeutically useful effect in treating in vivo murine leukemia, is called enhancing the therapeutic index of a drug. We have thus seen that incorporation into liposomes enhances or improves the therapeutic index of both mono anthracyclines, such as those now used for treating human diseases, and the new bis-anthracyclines.

Directing drugs to specific or selective tissues in the mammalian species through incorporation in liposomes has been demonstrated. Table 2 below presents data in this regard and illustrates the effect of liposome size upon concentration in various tissues.

TABLE 2  
% Dose<sup>a</sup> in Selected tissues at various times after IV administration of size I and size II<sup>b</sup> liposomes to mice

Time hours	Liver		Spleen		Lung	
	Size I	Size II	Size I	Size II	Size I	Size II
after 1	19.8	24.1	4.5	5.0	8.5	1.0
after 5	8.3	20.5	2.9	2.7	5.7	0.5
after 24	0.5	0.7	0.3	0.3	5.2	0.1

a. The drug used was cytosine arabinoside. The liposomes in both cases were composed of phosphatidyl choline, phosphatidyl serine, and cholesterol in the ratio 5:1:5.

b. Size I was extruded to yield approximately 1.2  $\mu\text{m}$  liposomes. Size II was extruded to yield approximately 0.5  $\mu\text{m}$  liposomes.

Table 2 shows that size I liposomes accumulate in lung tissue; whereas no difference with respect to spleen tissue is noted. At early times, size II liposomes, on the other hand, preferentially accumulate in liver tissue.

#### Claims

1. A method for the production of liposomes comprising forming liposomes in relatively random sizes and passing the random sized liposomes through an orifice characterized in that the initially random sized liposomes are converted into uniformly sized liposomes by extruding the random sized liposomes through at least one orifice, which said orifice is smaller than the largest of the random sized liposomes.

2. A method for the production of liposomes comprising forming liposomes in relatively random sizes and passing the random sized liposomes through an orifice characterised in that the initially random sized liposomes are repeatedly subjected to the said passage by successively passing the liposomes through orifices, the largest of the orifices being smaller than the largest of the random sized liposomes and the orifice used in one said passage being larger than the orifice used in a later said passage to provide liposomes of uniform size.

3. A method according to claim 1 or claim 2, which includes at least three said passages and at least one said passage after the second said passage is carried out at a pressure greater than the pressure at which the first and second passages are carried out.

4. A method according to any one of the preceding claims, wherein the or each said passage is carried out in the presence of a therapeutic agent and the liposomes formed therefrom have therapeutic agent encapsulated therein.

5. A method according to claim 4, which includes the additional step of separating liposomes having encapsulated therapeutic agent from unencapsulated therapeutic agent.

6. A method according to any one of the preceding claims, wherein the or a last said passage of the said successive passages is the

final step in the preparative process, the resulting liposomes being suitable for use.

7. A method according to any one of the preceding claims, wherein the liposomes are forced through at least one said orifice at a pressure up to about 2070 bar.

8. A method according to any one of the preceding claims, wherein the or at least one of the said orifices is provided by a sieve.

9. A method according to any one of the preceding claims, wherein the said liposomes are composed of lipids including phospholipids, macromolecules, cholesterol, amphiphiles, or a mixture thereof.

10. A method according to any one of the preceding claims, wherein the or a last said passage is through an orifice of a size not greater than 1  $\mu\text{m}$ .

11. A method according to claim 10, wherein the or a last said passage is through an orifice having a size of less than 0.5  $\mu\text{m}$  to 0.05  $\mu\text{m}$ .

12. A liposome made by the method of any one of the preceding claims and incorporating a bis-anthracycline as claimed in European Patent No. 4467.

#### Patentansprüche

1. Verfahren zur Herstellung von Liposomen unter Bilden von Liposomen in relativ unregelmäßigen Größen und Hindurchführen der Liposome unregelmäßiger Größe durch eine Düsenöffnung, dadurch gekennzeichnet, daß die anfangs unregelmäßig großen Liposome durch Extrudieren der unregelmäßig großen Liposome durch wenigstens eine Düsenöffnung, die kleiner ist als die größten der unregelmäßig großen Liposome, in Liposome gleicher Größe umgewandelt werden.

2. Verfahren zur Herstellung von Liposomen unter Bilden von Liposomen in relativ unregelmäßigen Größen und Hindurchführen der unregelmäßig großen Liposome durch eine Düsenöffnung, dadurch gekennzeichnet, daß die anfangs unregelmäßig großen Liposome dem Durchgang wiederholt unterworfen werden, indem die Liposome nacheinander durch Düsenöffnungen geführt werden, wobei die größte der Düsenöffnungen kleiner ist als die größten der unregelmäßig großen Liposome und die bei einem solchen Durchgang verwendete Düsenöffnung größer ist als die bei einem späteren

Durchgang verwendete Düsenöffnung, um Liposome gleicher Größe zu liefern.

3. Verfahren nach Anspruch 1 oder Anspruch 2, das wenigstens drei Durchgänge umfaßt und wenigstens ein Durchgang nach dem zweiten Durchgang bei einem größeren Druck als dem Druck durchgeführt wird, bei dem der erste und zweite Durchgang durchgeführt werden.

4. Verfahren nach irgend einem der vorhergehenden Ansprüche, worin der oder jeder Durchgang in Gegenwart eines therapeutischen Mittels durchgeführt wird und die daraus gebildeten Liposome therapeutisches Mittel darin eingekapselt enthalten.

5. Verfahren nach Anspruch 4, das den zusätzlichen Schritt der Trennung von Liposomen mit eingekapseltem therapeutischem Mittel von nicht eingekapseltem therapeutischem Mittel einschließt.

6. Verfahren nach irgend einem der vorhergehenden Ansprüche, worin der oder ein letzter Durchgang der aufeinanderfolgenden Durchgänge der letzte Schritt im Herstellungsverfahren ist, wobei die sich ergebenden Liposome zur Verwendung geeignet sind.

7. Verfahren nach irgend einem der vorhergehenden Ansprüche, worin die Liposome durch wenigstens eine Düsenöffnung bei einem Druck bis zu etwa 2070 bar gepreßt werden.

8. Verfahren nach irgend einem der vorhergehenden Ansprüche, worin die oder wenigstens eine der Düsenöffnungen durch ein Sieb gestellt wird.

9. Verfahren nach irgend einem der vorhergehenden Ansprüche, worin die Liposome aus Lipiden, einschließlich Phospholipiden, Makromolekülen, Cholesterinen, Amphiphilen oder einem Gemisch hiervon zusammengesetzt werden.

10. Verfahren nach irgend einem der vorhergehenden Ansprüche, worin der oder ein letzter Durchgang durch eine Düsenöffnung von einer Größe nicht über 1  $\mu\text{m}$  erfolgt.

11. Verfahren nach Anspruch 10, worin der oder ein letzter Durchgang durch eine Düsenöffnung mit einer Größe von weniger als 0,5  $\mu\text{m}$  bis 0,05  $\mu\text{m}$  erfolgt.

12. Liposom, hergestellt nach dem Verfahren irgend eines der vorhergehenden Ansprüche und ein Bis-anthracyclin, wie in dem Europäischen Patent Nr. 4467 beansprucht, enthaltend.

## Revendications

1. Procédé de production de liposomes consistant à former des liposomes à des tailles relativement statistiques et à faire passer les liposomes de taille statistique à travers un orifice caractérisé en ce que les liposomes initialement de taille statistique sont convertis en liposomes de taille identique par extrusion des liposomes de taille statistique à travers au moins un

orifice, ledit orifice étant plus petit que le plus grand des liposomes de taille statistique.

2. Procédé de production de liposomes consistant à former des liposomes à des tailles relativement statistiques et à faire passer les liposomes de taille statistique à travers un orifice caractérisé en ce que les liposomes initialement de taille statistique sont soumis de manière répétée audit passage en faisant passer en succession, les liposomes à travers des orifices, le plus grand des orifices étant plus petit que le plus grand des liposomes de taille statistique et l'orifice utilisé dans l'un desdits passages étant plus grand que l'orifice utilisé dans un passage ultérieur pour produire des liposomes de taille identique.

3. Procédé selon la revendication 1 ou la revendication 2 qui comprend au moins trois passages et au moins un passage après le second passage est effectué à une pression supérieure à la pression à laquelle sont effectués les premier et second passages.

4. Procédé selon l'une quelconque des revendications précédentes où le passage ou chaque passage est effectué en présence d'un agent thérapeutique et les liposomes qui en sont formés contiennent l'agent thérapeutique qui est encapsulé.

5. Procédé selon la revendication 4 qui comprend l'étape supplémentaire de séparer les liposomes où est encapsulé l'agent thérapeutique, de l'agent thérapeutique non encapsulé.

6. Procédé selon l'une quelconque des revendications précédentes où le passage ou le dernier passage desdits passages successifs est l'étape finale du procédé de préparation, les liposomes résultants étant appropriés à une utilisation.

7. Procédé selon l'une quelconque des revendications précédentes où les liposomes sont forcés à travers au moins un orifice à une pression pouvant atteindre environ 2070 bars.

8. Procédé selon l'une quelconque des revendications précédentes où l'orifice où au moins l'un desdits orifices est formé d'un tamis.

9. Procédé selon l'une quelconque des revendications précédentes où lesdits liposomes se composent de lipides comprenant des phospholipides macromolécules, des cholestérols, des amphiphiles, ou un mélange.

10. Procédé selon l'une quelconque des revendications précédentes où le passage ou le dernier passage s'effectue à travers un orifice d'une taille ne dépassant pas 1  $\mu\text{m}$ .

11. Procédé selon la revendication 10 où le passage ou le dernier passage passe à travers un orifice d'une taille de moins de 0,5  $\mu\text{m}$  à 0,05  $\mu\text{m}$ .

12. Liposome préparé par le procédé selon l'une quelconque des revendications précédentes où est incorporée une bis-anthracycline telle que revendiquée dans le Brevet Européen N° 4467.